



Attorney No. 8325-0007.01

Attachment Page 5

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 2RECEIVED
JUN 21 2002
TECH CENTER 1600/2900

In the Application of CASE and ZHANG

Confirmation No. 5123

Serial No.: 09/925,796

Art Unit: 1631

Filed: August 9, 2001

Examiner: unassigned

Title: FUNCTIONAL GENOMICS USING ZINC FINGER PROTEINS

COPY OF PAPERS
ORIGINALLY FILED

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
	AA-1						

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES	NO
JB	AB-1	WO 99/35494	July 15, 1999	PCT				
JB	AC-1	WO 00/41566	July 20, 2000	PCT				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
JB	AD-1	Beerli et al., "Toward Controlling Gene Expression at Will: Specific Regulation of the ERRB-2/HER-2 Promoter By Using Polydactyl Zinc Finger Proteins Constructed From Modular Building Blocks," <i>Natl. Acad. Sci. U.S.A.</i> <u>95</u> (25):14628-14633 (1998)
JB	AE-1	Imhof et al., "Transcriptional Regulation of the AP-Zalpha Promoter by BTEB-1 and AP-ZREP, a Novel WT-1/EGR-Related Zinc Finger Repressor," <i>Molecular and Cellular Biology</i> <u>19</u> (1):194-204 (1999)

Examiner:

J.B. Brues

Date Considered:

09 August 2003

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Atty Dkt No. 8325-0007.01

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	AF-1	Liu et al., "Transcription Factor EGR-1 Suppresses the Growth and Transformation of Human HT-1080 Fibrosarcoma Cells by Induction of Transforming Growth Factor Beta 1," <i>Proc. Natl. Acad. Sci. U.S.A.</i> <u>93</u> (21):11831-11836 (1996)
	AG-1	Liu et al., "Design of Polydactyl Zinc-Finger Proteins for Unique Addressing Within Complex Genomes," <i>Proc. Natl. Acad. Sci. U.S.A.</i> <u>94</u> (11):5525-5530 (1997)
	AH-1	Spengler et al., "Regulation of Apoptosis and Cell Cycle Arrest by ZC1, A Novel Zincfinger Protein Expressed in the Pituitary Gland and the Brain," <i>EMBO Journal</i> <u>6B</u> , Oxford University Press, Surrey <u>16</u> (10):2814-2825 (1997)

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